

For instructions or questions, call the Case Review Division at (202) 804-7000.

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#### PART 1: IMPORTANT INFORMATION ABOUT FILING A COMPLAINT

Required Complaint Form. Complaints alleging a prohibited personnel practice or a prohibited activity must be submitted on this form, either by e-filing or by mail. Information not submitted on or accompanied by this form may be returned by OSC to the filer. The complaint will be considered filed on the date on which OSC receives the completed form. 5 C.F.R. § 1800.1, as amended.

No OSC Jurisdiction. OSC cannot take any action on complaints filed by employees of

- the FBI, CIA, DIA, NSA, National Geospatial-Intelligence Agency, ODNI, National Reconnaissance Office or other intelligence agencies excluded from coverage by the President;
- the Government Accountability Office;
- · the Postal Rate Commission; and
- the uniformed services of the United States (*i.e.*, uniformed military employees). OSC does have jurisdiction over civilian employees of the armed forces.

<u>Limited OSC Jurisdiction.</u> For employees of some federal agencies or entities, OSC's jurisdiction is limited to certain types of complaints, as follows –

- FAA employees only for allegations of retaliation for whistleblowing under <u>5 U.S.C.</u> § 2302(b)(8) and most allegations of retaliation for engaging in protected activities under <u>5 U.S.C.</u> § 2302(b)(9).
- employees of government corporations listed at <u>31 U.S.C. § 9101</u> only for allegations of retaliation for whistleblowing under <u>5 U.S.C. § 2302(b)(8)</u> and most allegations of retaliation for engaging in protected activities under <u>5 U.S.C. § 2302 (b)(9)</u>.
- U.S. Postal Service employees only for allegations of nepotism.
- TSA employees only for allegations of discrimination under § 2302(b)(1), retaliation for whistleblowing under 5 U.S.C. § 2302(b)(8), and most allegations of retaliation for engaging in protected activities under 5 U.S.C. § 2302(b)(9).

Election of Remedies. You may choose only one of three possible methods to pursue your prohibited personnel practice complaint: (a) a complaint to OSC; (b) an appeal to the Merit Systems Protection Board (MSPB) (if the action is appealable under law or regulation); or (c) a grievance under a collective bargaining agreement. If you have already filed an appeal about your prohibited personnel practice allegations with the MSPB, or a grievance about those allegations under the collective bargaining agreement (if the action is grievable under the agreement), OSC may lack jurisdiction over your complaint. 5 U.S.C. § 7121(g).



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### Complaints Involving Discrimination.

- Race, Color, Religion, Sex, National Origin, Age, and Disability (or Handicapping Condition): OSC is authorized to investigate discrimination based upon race, color, religion, sex, national origin, age, or disability (or handicapping condition), as well as retaliation related to EEO activity. 5 U.S.C. § 2302(b)(1). However, OSC generally defers such allegations to agency procedures established under regulations issued by the Equal Employment Opportunity Commission (EEOC). 5 C.F.R. § 1810.1. If you wish to report allegations of discrimination based on these bases, you should contact your agency's EEO office immediately. There are specific time limits for filing such complaints. Filing a complaint with OSC will not relieve you of the obligation to file a complaint with the agency's EEO office within the time prescribed by EEOC regulations (at 29 C.F.R. Part 1614).
- Marital Status and Political Affiliation: OSC is authorized to investigate discrimination based on marital status or political affiliation. <u>5 U.S.C.</u> § 2302(b)(1).
- Sexual Orientation and Gender Identity: OSC is authorized to investigate discrimination based on sexual orientation and gender identity.
   5 U.S.C. §§ 2302(b)(1) and (b)(10). EEOC also may have jurisdiction over complaints of discrimination on these bases.

Complaints Involving Veterans Rights. By law, all complaints alleging denial of veterans' preference requirements or USERRA must be filed with the Veterans Employment and Training Service (VETS) at the Department of Labor (DOL). 38 U.S.C. § 4301, et seq., and 5 U.S.C. § 3330a(a).



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#### **PART 2: SELECT YOUR PPPs**

Please check <u>ALL</u> that apply (you MUST check one option). A customized series of questions will appear following the "Biographical Information" section, below, based on your selections. You can return to this part at any time prior to submitting your complaint if you would like to add or remove allegations. All fields allow ample space to respond, but each question has a character limit; if you can no longer type you have hit the limit.

RE	TALIATION CLAIMS
	Retaliation for Whistleblowing
	Retaliation for reporting a violation of law, rule, or regulation; gross mismanagement; gross waste of funds; abuse of authority; a substantial and specific danger to public health or safety; or censorship related to scientific research.
	<b>Retaliation for Protected Activity</b> Retaliation for filing a complaint or grievance; assisting another with a complaint or grievance; cooperating with an OSC, OIG, or internal investigation; or refusing to obey an illegal order.
<u>ILL</u>	EGAL SELECTION PRACTICE CLAIMS
	Obstruct Competition
	Intentionally deceive or obstruct anyone from competing for federal employment.
	Give Unauthorized Preference
	Give an unauthorized preference or advantage, including defining the manner or scope of competition, to improve or injure the employment prospects of any person
	Encourage Withdrawal from Competition

• Influence or encourage anyone to withdraw from competition to improve or injure the employment prospects of any person.

### Nepotism

Involvement in the appointment, promotion, or advancement of a relative, or advocacy on behalf of a relative.

### Improper Political Recommendation

Request or consider a recommendation based on political connections or influence rather than one based on personal knowledge of a person's ability to perform a job.

### Violate Veterans' Preference

Take or fail to take, recommend, or approve a personnel action if doing so would violate a veterans' preference requirement. This type of complaint must be filed with the Department of Labor. Please click <u>here</u> to go to that site.



Navigation Bar	DISCRIMINATION CLAIMS
Dualishitad Danasanal	Discrimination for Non-Job-Related Conduct
Prohibited Personnel Practices (PPP)	Discrimination for conduct that does not adversely affect job performance, including claims of sexual orientation or gender identity discrimination.
About Filing a Complaint	Other Bases of Discrimination
Select your PPPs	OSC examines claims of discrimination based on marital status and political
Biographical Information	<b>affiliation.</b> OSC does <u>NOT</u> ordinarily investigate claims of discrimination based on race, color, religion, sex, national origin, age, and handicapping condition. These
Your Complaint	claims are typically better filed with an agency's EEO office.
Retaliation for Whistleblowing	OTHER CLAIMS
Retaliation for Protected Activity	Improper Personnel Actions  Take or fail to take a personnel action if doing so would violate any law, rule, or
Obstruct Competition	regulation implementing or directly concerning a merit system principle.  Non-Disclosure Agreement
Give Unauthorized Preference	Implement or enforce a non-disclosure agreement or policy that lacks notification of whistleblower rights.
Encourage Withdrawal from Competition	☐ Improper Accessing of Medical Records
Nepotism	Accessing the medical records of another employee or applicant for employment as a part of, or otherwise in furtherance of, the commission of a prohibited
Improper Political Recommendation	personnel practice.
Violate Veterans' Preference	Coerce Political Activity  Coerce a person to engage in political activity, to include providing a political
	contribution or service, or take action against a person for doing so.
Discrimination for Non-Job-Related Conduct	Other Please use this area to describe employment problems that do not fall into one of
Other Bases of Discrimination	the categories listed above.
Improper Personnel Actions	
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Navigation Bar		PART 3: BIOGE	RAPHICAL INFORMATION		
		* Denotes Required Fields			
Prohibited Personnel Practices (PPP)		Complainant Information:			
About Filing a Complaint	١.	Title			
Select your PPPs		First Name*	Middle Initial		
Biographical Information					
Your Complaint		On the state of the forms of the state of th			
Retaliation for Whistleblowing	2.	Contact Information:  Address Location*   Domestic	International		
Retaliation for Protected Activity		Address Line 1*			
Obstruct Competition		Address Line 2	 State*		
Give Unauthorized Preference		City*	State*		
Encourage Withdrawal from Competition					
Nepotism		Cell Phone Number			
Improper Political Recommendation		Office Phone Number Home Phone Number	Ext		
Violate Veterans' Preference		Email Address*			
Discrimination for		Preferred means of contact:	_		
Non-Job-Related Conduct		email home phone co			
Other Bases of Discrimination		Please do not contact me on m	y office phone		
Improper Personnel Actions	3.	Do you have representation?*	Yes No		
Non-Disclosure Agreement		Title			
Improper Accessing of Medical Records		First Name*  Last Name*	Middle Initial		
Coerce Political Activity			International		
Other		Address Location* Domestic Address Line 1*	International		
Attachments		Address Line 2			
Consent		City*	 State*		
Certification		•			
		Zip Code**At least <b>ONE</b> phone number <b>OR</b> •	email address is required		
		Cell Phone Number	Sinali addition to roquilou.		
		Office Phone Number	Ext.		



Navigation Bar	
	Home Phone Number
Prohibited Personnel	Email Address
Practices (PPP)	Preferred means of contact:
About Filing a Complaint	email home phone cell phone office phone
Select your PPPs	4. Complainant's employment status:*
Biographical Information	Current Federal Employee
Your Complaint	☐ Former Federal Employee
Retaliation for Whistleblowing	☐ Applicant For Federal Employment ☐ Non-Federal Employee (please specify below)
Retaliation for Protected Activity	□ Non-Federal Employee (please specify below)
Obstruct Competition	5. If current or former federal employee, please list most recent position title, series,
Give Unauthorized Preference	grade: Title (for instance, Investigator)
Encourage Withdrawal	Series (for instance, GS-1810)
from Competition  Nepotism	Grade (for instance, GS-9)
Improper Political	6. Please provide your dates of employment in this position.
Recommendation Violate Veterans'	7. Department name:*
Preference	8. Agency name:*
Discrimination for Non-Job-Related Conduct	9. Agency subcomponent:
Other Bases of Discrimination	10. Street Address:
Improper Personnel Actions	11. City:*
Non-Disclosure Agreement	12. State:* Check here if agency address is international.
Improper Accessing of Medical Records	13. Zip Code:
Coerce Political Activity	14. Are you covered by a collective bargaining agreement? (Check one.)
Other	☐ Yes ☐ No ☐ I don't know
Attachments	15. Which of the following apply to your employment status? (Check all applicable items.)
Consent	a. Competitive Service
Certification	☐ Temporary appointment       ☐ Career or career-conditional appointment         ☐ Term appointment       ☐ Probationary employee



Prohibited Personnel Practices (PPP) About Filing a Complaint	b. Excepted Service Schedule A Schedule B Schedule C National Guard Technician Postal Service Tennessee Valley Authority Non-appropriated fund	
Select your PPPs  Biographical Information  Your Complaint  Retaliation for Whistleblowing	<ul> <li>□ Other (specify):</li> <li>c. Senior Executive Service (SES) or Executive Level</li> <li>□ Career SES</li> <li>□ Executive Level V or above</li> <li>□ Non-career SES</li> <li>□ Presidential appointee (Senate-confirmed)</li> <li>d. Other</li> <li>□ Civil service annuitant</li> <li>□ Military officer or enlisted person</li> </ul>	ed)
Retaliation for Protected Activity	☐ Former civil service employee ☐ Contract employee ☐ Unknown ☐ Other (specify):	
Obstruct Competition Give Unauthorized Preference	16. What other action(s), if any, have you taken to appeal, grieve, or report this matt under any other procedure? (Check all that apply.)  None, or not applicable	:er
Encourage Withdrawal from Competition  Nepotism	Appeal with Merit Systems Protection Board (MSPB)  Grievance under collective bargaining agreement procedure Date:	
Improper Political Recommendation Violate Veterans'	☐ Grievance filed under agency grievance procedure       Date:         ☐ Discrimination complaint filed with agency       Date:         ☐ USERRA claim with VETS (Department of Labor)       Date:	
Preference Discrimination for Non-Job-Related Conduct	Appeal filed with Office of Personnel Management  Lawsuit filed in Federal Court  Date:	
Other Bases of Discrimination Improper Personnel Actions	Court name:  Reported matter to agency Inspector General  Date:	
Non-Disclosure Agreement Improper Accessing of Medical Records	Reported matter to member of Congress  Name of Senator or Representative:	
Coerce Political Activity Other	Other (specify): Date:  17. What action would you like for OSC to take if we find that a prohibited personne practice has occurred?	-
Attachments Consent	practice has occurred?	
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### PART 4: DETAILS OF YOUR COMPLAINT

### **Retaliation for Whistleblowing**

An agency official is prohibited from taking, failing to take, or threatening to take or fail to take, a personnel action against an employee or applicant because the individual made a disclosure of information that s/he reasonably believed evidenced wrongdoing (*i.e.*, a violation of any law, rule, or regulation; gross mismanagement; a gross waste of funds; an abuse of authority; substantial and specific danger to public health or safety; or censorship related to scientific research.) 5 U.S.C. § 2302(b)(8). This is commonly referred to as a retaliation for whistleblowing claim.

### IMPORTANT INFORMATION ABOUT RETALIATION ALLEGATIONS

YOU SHOULD LIST ALL DISCLOSURES AND PERSONNEL ACTIONS
INVOLVED IN YOUR COMPLAINT. This is because: (1) failure to list any
disclosure or personnel action may delay the processing of your complaint by OSC;
and (2) a comprehensive listing will help avoid disputes in any later Individual Right
of Action (IRA) appeal that you may file with the Merit Systems Protection Board
(MSPB).

You may add additional allegations of retaliation for whistleblowing to this complaint while it is pending at OSC. Submission of any additional allegations to OSC <u>in</u> writing will help you if you later decide to file an IRA appeal with the MSPB.

To establish its jurisdiction over an IRA appeal, the MSPB will require you to show that your IRA appeal relates to the same disclosure(s) and personnel action(s) raised in your complaint to OSC. The following documents will help meet this requirement: a copy of the retaliation allegations in your complaint, any additional allegation(s) of retaliation that you submitted to OSC in writing while the complaint was pending, and any official correspondence you receive from OSC about your complaint. IT IS IMPORTANT, THEREFORE, THAT YOU SAVE COPIES OF ALL THESE DOCUMENTS FOR YOUR RECORDS.

If OSC fails to complete its review of your whistleblower retaliation allegation within 120 days after it receives your complaint, or if it closes your complaint at any time without seeking corrective action on your behalf, you have the right to file an IRA appeal with the MSPB. 5 U.S.C. § 1214(a)(3).

Please briefly answer the following questions about your retaliation claim.



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## COMPLAINT OF PROHIBITED PERSONNEL PRACTICE OR OTHER PROHIBITED ACTIVITY

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Navigation Bar	2.	When did you disclose it?
Prohibited Personnel		
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Select your PPPs	2	To whome did you make your displacema?
Biographical Information	٥.	To whom did you make your disclosure?
Your Complaint		
Retaliation for Whistleblowing		
Retaliation for Protected Activity		
Obstruct Competition		
Give Unauthorized Preference	4.	How did you learn of the information you disclosed?
Encourage Withdrawal from Competition		
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Improper Accessing of Medical Records	5.	When and how did agency officials learn about your disclosure?
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lavigation Bar	6.		ake in response to your disclosure? (For example, did erwise look into what you disclosed or was disciplinary			
rohibited Personnel ractices (PPP)		action taken against respons	ibio partico: )			
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Encourage Withdrawal from Competition	k	What personnel action(s) do you believe was taken, not taken, or threate because of your disclosure?				
Nepotism		Check all applicable: ☐ Removal	Reinstatement			
Improper Political Recommendation		Suspension	Reassignment			
Violate Veterans'		Other Discipline	Harassment/Hostile Work Environment Psychiatric Examination			
Preference Discrimination for		□ VA Expedited Process				
Non-Job-Related Conduct		Gag Order	Performance Evaluation			
Other Bases of Discrimination		Detail Promotion	Changes to Duties/Working Conditions Pay, Benefits, Training			
Improper Personnel Actions		Appointment	Other			
Non-Disclosure Agreement		Describe:	Caro			
Improper Accessing of Medical Records						
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Navigation Bar	8.	When was the personnel action(s) taken? By whom?
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Retaliation for Protected Activity	9	What was the agency's stated reason for taking the personnel action(s)?
Obstruct Competition	0.	What was the agency's stated reason for taking the personner action(s):
Give Unauthorized Preference		
Encourage Withdrawal from Competition		
Nepotism		
Improper Political Recommendation		
Violate Veterans' Preference		
Discrimination for Non-Job-Related Conduct		
Other Bases of Discrimination	10	. What facts demonstrate that the personnel action(s) is retaliatory? (For example,
Improper Personnel Actions		were comments made that suggest that agency officials were angry because of
Non-Disclosure Agreement		your disclosure or did your relationships cool following your disclosure?)
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An agency official is prohibited from taking, failing to take, or threatening to take or fail to take a personnel action against any employee or applicant for federal employment because of (A) the exercise of an appeal, complaint, or grievance right granted by any law, rule or regulation; (B) testifying or otherwise lawfully assisting any individual in the exercise of any such right; (C) cooperating with or disclosing information to the Inspector General (or any other component responsible for internal investigation or review) of any agency, or the Special Counsel; or (D) refusing to obey an order that would require the individual to violate a law, rule, or regulation.

5 U.S.C. § 2302(b)(9).



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### **IMPORTANT INFORMATION ABOUT RETALIATION ALLEGATIONS**

YOU SHOULD LIST ALL PROTECTED ACTIVITIES AND PERSONNEL ACTIONS INVOLVED IN YOUR COMPLAINT. This is because: (1) failure to list any protected activity or personnel action may delay the processing of your complaint by OSC; and (2) a comprehensive listing will help avoid disputes in any later Individual Right of Action (IRA) appeal that you may file with the Merit Systems Protection Board (MSPB).

You may add additional allegations of retaliation for engaging in protected activities to this complaint while it is pending at OSC. Submission of any additional allegations to OSC <u>in</u> writing will help you if you later decide to file an IRA appeal with the MSPB.

To establish its jurisdiction over an IRA appeal, the MSPB will require you to show that your IRA appeal relates to the same protected activities and personnel action(s) raised in your complaint to OSC. The following documents will help meet this requirement: a copy of the retaliation allegations in your complaint, any additional allegation(s) of retaliation that you submitted to OSC in writing while the complaint was pending, and any official correspondence you receive from OSC about your complaint. IT IS IMPORTANT, THEREFORE, THAT YOU SAVE COPIES OF ALL THESE DOCUMENTS FOR YOUR RECORDS.

If OSC fails to complete its review of your retaliation allegation within 120 days after it receives your complaint, or if it closes your complaint at any time without seeking corrective action on your behalf, you have the right to file an IRA appeal with the MSPB. <u>5 U.S.C.</u> § 1214(a)(3).

Please briefly answer the following questions about your retaliation claim.

1.	In what protected activity did you engage?					
	<ul> <li>☐ Filed a complaint, appeal, or grievance</li> <li>☐ Testified for or lawfully assisted an individual in the exercise of their right to file a complaint, appeal, or grievance</li> <li>☐ Cooperated with or disclosed information to an Inspector General, OSC, or other investigator</li> </ul>					
	Refused to obey an order that would require you to violate a law, rule, or regulation  Other					
2.	When did you engage in the protected activity?					



	_	
Navigation Bar	3.	Please briefly describe the nature of your protected activity.
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Improper Political Recommendation		What action did the agency take in response to your protected activity? (For example, did the agency investigate or otherwise look into what you disclosed or was disciplinary action taken against responsible agency officials?)
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Navigation Bar	5.	When and how did agency	officials learn about your protected activity?
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Navigation Bar	7.	When was the personnel action(s) taken? By whom?
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9.	What facts demonstrate that the personnel action(s) is retaliatory? (For example, were comments made that suggest that agency officials were angry because of your protected activity or did your relationships cool following your actions?)
10	. Why do you believe agency officials would retaliate against you? (For example, did agency officials suffer some adverse impact or embarrassment because of your protected activity?)
10	agency officials suffer some adverse impact or embarrassment because of your
10	agency officials suffer some adverse impact or embarrassment because of your
10	agency officials suffer some adverse impact or embarrassment because of your
10	agency officials suffer some adverse impact or embarrassment because of your



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11. F	Please provide the name	e, title, and po	sition in your	chain of con	nmand of the	agency
C	official(s) involved in taki	ng the persor	nnel action(s)	that you beli	ieve were ret	aliatory.

First Name	Last Name	Title (e.g., Deputy Director)	Chain of Command (e.g., 1 <sup>st</sup> level supervisor)

12	.Were the agency officials involved in taking the personnel action(s) against you
	accused of wrongdoing in your complaint or other protected activity? If yes, which
	ones?



For instructions or questions, call the Case Review Division at (202) 804-7000.

#### **Navigation Bar Obstructed Competition** An agency official is prohibited from deceiving or willfully obstructing an individual from competing for federal employment. 5 U.S.C. § 2302(b)(4This section **Prohibited Personnel** requires evidence that the agency official willfully engaged in actions to prevent or Practices (PPP) otherwise adversely affect an individual from being considered for a position. A About Filing a Complaint mistake, oversight, or error is not a prohibited personnel practice. Likewise, the selection of a qualified candidate who, at the outset of the competition, was the Select your PPPs preferred candidate (i.e., "pre-selection") does not automatically constitute a willful Biographical Information obstruction of one's right to compete. Please briefly answer the following questions about your claim of willful Your Complaint obstruction. Retaliation for Whistleblowing 1. State the series, grade, and title of the position for which you were competing, if Retaliation for Protected applicable. Activity **Obstruct Competition** Give Unauthorized Preference **Encourage Withdrawal** 2. How was the position filled (e.g., vacancy announcement, detail, reassignment)? from Competition Nepotism Improper Political Recommendation 3. Was the position in the competitive or excepted service? Competitive Service Excepted Service Violate Veterans' Preference 4. Was the position advertised? Yes No Discrimination for 5. How was this position advertised? (Check all that apply.) Non-Job-Related Conduct Externally Internally Other Bases of Discrimination 6. Did you apply for the position? Yes □No Improper Personnel Actions 7. State the name and title of the agency official(s) who deceived or obstructed you from competing for federal employment. Non-Disclosure Agreement First Name Last Name Title (e.g., Deputy Director) Improper Accessing of Medical Records Coerce Political Activity Other Attachments Consent Certification



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3.	State how the involved agency official(s) deceived or obstructed you from competing for federal employment. (For example, what did he/she say or do to obstruct you from competing?)



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9.	Why do you believe the identified agency official(s) wanted to obstruct your right to compete?



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#### **Navigation Bar Give Unauthorized Preference** An agency official is prohibited from granting an unauthorized preference or advantage to any employee or applicant for the purpose of improving or injuring the **Prohibited Personnel** prospects of any particular person for employment.5 U.S.C. § 2302(b)(6). Please note Practices (PPP) that the selection of a qualified candidate who, at the outset of the competition, was the About Filing a Complaint preferred candidate (i.e., "pre-selection") does not alone constitute an unauthorized preference or advantage. Select your PPPs Please briefly answer the following questions about your unauthorized Biographical Information preference or advantage claim. Your Complaint Retaliation for Whistleblowing Retaliation for Protected Activity 1. Please state the job title, series, and grade of the position for which an unauthorized preference or advantage was granted. **Obstruct Competition** Give Unauthorized Preference 2. How was the position filled (e.g., vacancy announcement, detail, reassignment)? **Encourage Withdrawal** from Competition Nepotism 3. Was the position in the competitive or excepted service? Improper Political Competitive Service Excepted Service Recommendation 4. Was the position advertised? Yes No Violate Veterans' 5. How was this position advertised? (Check all that apply.) Preference Externally Internally N/A Discrimination for Non-Job-Related Conduct 6. State the name and title of the agency official(s) who granted the unauthorized Other Bases of preference or advantage. Discrimination First Name Last Name Title (e.g., Deputy Director) Improper Personnel Actions Non-Disclosure Agreement Improper Accessing of Medical Records Coerce Political Activity Other **Attachments** Consent Certification



For instructions	s or	questions, call the Case Review Division at (202) 804-7000.
Navigation Bar	7.	State the name, title, and position (if applicable) of the person who received the unauthorized preference or advantage.
Prohibited Personnel Practices (PPP)		
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Retaliation for Whistleblowing	8.	How did the involved agency official(s) advantage this person? (For example, what
Retaliation for Protected Activity		specific actions did the agency official take to improve the employment prospects of this person?)
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Navigation Bar	9. Wha	at motivated the agency official to advantage this person?
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Retaliation for Protected Activity		
Obstruct Competition		
Give Unauthorized Preference	pref	at facts indicate that the involved agency official(s) granted the unauthorized ference or advantage for the purpose of improving this person's chances of
Encourage Withdrawal from Competition	beir	ng selected?
Nepotism		
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4000 110	t meet the req	ullement(s):		



Navigation Bar		Ence	ourage Withdrawal from	Competition					
Prohibited Personnel Practices (PPP)		An agency official	al is prohibited from influenc m competition for any positi	ing, or trying to influence, an on for the purpose of improving or ment. 5 U.S.C. § 2302(b)(5).					
About Filing a Complaint			swer the following questions	s about your claim concerning					
Select your PPPs	ım	proper influence.							
Biographical Information	1.	State the series, grade, and title of the position for which you were competing.							
Your Complaint									
Retaliation for Whistleblowing	2.	How was the position	filled (e.g., vacancy annour	ncement, detail, reassignment)?					
Retaliation for Protected Activity				, , , , ,					
Obstruct Competition	3	Was the position in th	e competitive or excepted s	ervice?					
Give Unauthorized Preference		Competitive Service	ce Excepted Service						
Encourage Withdrawal from Competition		Was the position adve							
Nepotism	5.	How was this position  Externally	advertised? (Check all that	apply.)					
Improper Political Recommendation	6.	Did you apply for the	,						
Violate Veterans' Preference	7.		tle of the agency official(s) v	vho influenced, or tried to					
Discrimination for Non-Job-Related Conduct			draw from competition.	T'' ( D ( D: ( )					
Other Bases of Discrimination		First Name	Last Name	Title (e.g., Deputy Director)					
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State how the involved agency official(s) influenced, or tried to influence, you to withdraw from competition.



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#### **Nepotism**

A public official is prohibited from engaging in nepotism (*i.e.*, hiring, promoting, advancing, or advocating for the appointment, employment, promotion, or advancement of any relative). <u>5 U.S.C. 2302(b)(7)</u> The word "relative," means a father, mother, son, daughter, brother, sister, uncle, aunt, first cousin, nephew, niece, husband, wife, father-in-law, mother-in-law, son-in-law, daughter-in-law, brother-in-law, sister-in-law, stepfather, stepmother, stepson, stepdaughter, stepbrother, stepsister, half-brother, or half-sister. <u>5 U.S.C.</u> § 3110(a)(3).

Please briefly answer the following questions about your nepotism claim.

1. State the name and title of the public official(s) who engaged in nepotism.

First Name	Last Name	Title (e.g., Deputy Director)

2.	Identify the relative for whom the official acted or advocated.



Navigation Bar	3.	How is the public official related to the person for whom s/he acted or advocated? How do you know that they are related?
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Improper Political Recommendation	4.	When and how did the public official play a part in appointing, employing, promoting, advancing, or advocating for his/her relative?
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	5	To your knowledge, has anyone previously alleged nepotism based on the
		relationship between this public official and his/her relative?  Yes No



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### **Improper Political Recommendation**

An agency official is prohibited from soliciting or considering any employment recommendation or statement, unless it is based on personal knowledge. 5 U.S.C. § 2302(b)(2)This section is intended to prevent the use of *political* influence to obtain a position or promotion.

Please <u>briefly</u> answer the following questions about your claim of an improper recommendation.

	Topor rosonimonadioni					
,	. Describe the employment recommendation that was solicited or considered. (For example, for what employment opportunity was it solicited or considered? When was it issued? Who was the beneficiary or intended beneficiary of the recommendation?)					



For instructions	s or o	questions, call the	Case Review Division at (	202) 804-7000.
Navigation Bar	2. I	How did you learn ab	out the solicitation or consid	leration of the recommendation?
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Coerce Political Activity	3 5	State the name and t	itle of the agency official(s) v	vho solicited or considered the
Other		ecommendation.	are of the agency official(s) v	vito solicited of solicitored the
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ecommendat	on.					
nowledge of	ent recommend the person who	made it? Fo	or example, v	was the reco	ommendation	1
nowledge of		made it? Fo	or example, v	was the reco	ommendation	1
nowledge of	the person who	made it? Fo	or example, v	was the reco	ommendation	1
nowledge of	the person who	made it? Fo	or example, v	was the reco	ommendation	1
nowledge of	the person who	made it? Fo	or example, v	was the reco	ommendation	1
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nowledge of	the person who	made it? Fo	or example, v	was the reco	ommendation	1
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nowledge of	the person who	made it? Fo	or example, v	was the reco	ommendation	1
nowledge of	the person who	made it? Fo	or example, v	was the reco	ommendation	ı
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nowledge of	the person who	made it? Fo	or example, v	was the reco	ommendation	1
nowledge of	the person who	made it? Fo	or example, v	was the reco	ommendation	n
nowledge of	the person who	made it? Fo	or example, v	was the reco	ommendation	n
nowledge of	the person who	made it? Fo	or example, v	was the reco	ommendation	1



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	6.	If you believe that an employment recommendation was not based on the personal knowledge of the person who made it, please describe the facts supporting your belief.
ò		
-		
-		
-		



For instructions or questions, call the Case Review Division at (202) 804-7000.

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#### **Violation of Veterans' Preference**

An agency official is prohibited from taking or failing to take a personnel action doing so would violate veterans' preference. 5 U.S.C. § 2302(b)(1.1) While such actions constitute a prohibited personnel practice, generally, employees must file these claims through the Department of Labor. More information on filing these complaints with DOL can be found on their website.

### **Discrimination for Non-Job-Related Conduct**

An agency official is prohibited from discriminating against an employee or applicant on the basis of conduct that does not adversely affect the performance of the employee or applicant, or the performance of others. <u>5 U.S.C. § 2302(b)(1.0T</u>his could include, for example, discrimination based on sexual orientation or gender identity.

Please <u>briefly</u> answer the following questions about your discrimination claim to help OSC determine whether there is sufficient information to warrant further inquiry into this allegation..

1.	For what conduct do you believe you have faced discrimination?	
	Does your conduct involve your sexual orientation? Yes No Does your conduct involve your gender identity? Yes No	



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4.	When and where did you engage in this conduct? (For example, did it occur before/ after duty hours, away from work?)				

5. State the name, title, and position in your chain of command of the agency official(s) who discriminated against you based on your conduct.

First Name	Last Name	Title ( <i>e.g.</i> , Deputy Director)	Chain of Command (e.g., 1 <sup>st</sup> level supervisor)



For instructions or questions, call the Case Review Division at (202) 804-7000.

### **Navigation Bar** 6. If you know, state when and how the agency official(s) learned of your conduct. Prohibited Personnel Practices (PPP) About Filing a Complaint Select your PPPs Biographical Information Your Complaint Retaliation for Whistleblowing Retaliation for Protected Activity **Obstruct Competition** Give Unauthorized Preference Encourage Withdrawal from Competition Nepotism Improper Political Recommendation Violate Veterans' Preference Discrimination for Non-Job-Related Conduct Other Bases of Discrimination Improper Personnel Actions Non-Disclosure Agreement Improper Accessing of Medical Records Coerce Political Activity Other Attachments

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Navigation bar	7. State how the agency offici. Check all applicable:	al(s) discriminated against you based on your conduct.
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	Other Discipline	☐ Harassment/Hostile Work Environment
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Biographical Information	Gag Order	Performance Evaluation
Your Complaint Retaliation for	Detail	Changes to Duties/Working Conditions
Whistleblowing	Promotion	Pay, Benefits, Training
Retaliation for Protected Activity	Appointment Describe:	Other
Obstruct Competition	Describe.	
Give Unauthorized Preference		
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118	S OI	questions, can the case Review Division at (202) 604-7000.	
	8.	What facts indicate that the involved agency official(s) discriminated against you based on your conduct? (For example, did the agency official(s) make negative comments about your conduct? Were other employees who did not engage in such conduct treated differently from you?)	
IS.			
t			



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#### **Other Bases of Discrimination**

(Based on Race, Color, Religion, Sex, National Origin, Age, Disability, Marital Status, or Political Affiliation)

An agency official is prohibited from discriminating for or against any employee or applicant for employment on the basis of race, color, religion, sex, national origin, age, disability (or handicapping condition), marital status or political affiliation. 5 U.S.C. § 2302(b)(1)OSC routinely examines claims of discrimination based on marital status and political affiliation. However, we defer nearly all claims of discrimination based on race, color, religion, sex, national origin, age, disability (or handicapping condition) to the EEO process. Filing an OSC complaint based upon one of these bases will not change the deadlines for filing an EEO complaint. While allegations of sexual orientation and gender identity discrimination are also sex discrimination, OSC also examines these allegations as complaints of Discrimination for Non-Job-Related Conduct. If you are making an allegation of sexual orientation or gender identity discrimination, please complete the questions for that section.

	Please <u>briefly</u> answer the following q	uestions about your discrimination claim.
1.	What is the basis of your discrimination cla	aim?
	☐ Race ☐ Color ☐ Religion ☐ Sex ☐ Disability (or handicapping condition)	<ul><li>  National Origin</li><li>  Age</li><li>  Marital Status</li><li>  Political Affiliation</li></ul>
2.	What is your status within that basis? (For status discrimination, are you married, sin	



Navigation Bar	3.	What action(s) did the ager Check all applicable:	ncy take or fail to take?
Prohibited Personnel Practices (PPP)  About Filing a Complaint Select your PPPs Biographical Information  Your Complaint  Retaliation for Whistleblowing  Retaliation for Protected Activity  Obstruct Competition  Give Unauthorized Preference  Encourage Withdrawal from Competition  Nepotism  Improper Political Recommendation  Violate Veterans' Preference  Discrimination for Non-Job-Related Conduct  Other Bases of Discrimination  Improper Personnel Actions  Non-Disclosure Agreement  Improper Accessing of Medical Records  Coerce Political Activity  Other  Attachments  Consent  Certification	3.		Reinstatement Reassignment Harassment/Hostile Work Environment Psychiatric Examination Performance Evaluation Changes to Duties/Working Conditions Pay, Benefits, Training Other



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Navigation Bar	4	When did the a	ction(s) occur?		
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Improper Personnel Actions	5.	involved in the			
Non-Disclosure Agreement		First Name	Last Name	Title	Chain of Command (e.g., 1 <sup>st</sup> level supervisor)
Improper Accessing of Medical Records				(e.g., Deputy Bilector)	(e.g., 1 level supervisor)
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Other					
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### For instructions or questions, call the Case Review Division at (202) 804-7000. Navigation Bar 7. What facts support your assertion that the action was discriminatory? Prohibited Personnel Practices (PPP) About Filing a Complaint Select your PPPs Biographical Information Your Complaint Retaliation for Whistleblowing Retaliation for Protected Activity **Obstruct Competition** Give Unauthorized Preference Encourage Withdrawal from Competition Nepotism Improper Political Recommendation Violate Veterans' Preference Discrimination for Non-Job-Related Conduct Other Bases of Discrimination Improper Personnel Actions Non-Disclosure Agreement Improper Accessing of Medical Records Coerce Political Activity Other Attachments Consent Certification



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#### **Improper Personnel Actions**

An agency official is prohibited from taking or failing to take a personnel action if doing so results in the violation of a law, rule, or regulation that implements, or directly concerns, a merit system principle listed in 5 U.S.C. § 2301. 5 U.S.C. § 2302(b)(12Retaliation for petitioning a member of Congress or exercising your First Amendment rights falls under this section.

Please briefly answer the following questions about your claim under this

section.	
What was the personnel ac Check all applicable:	tion(s) taken or not taken?
Removal	Reinstatement
Suspension	Reassignment
Other Discipline	Harassment/Hostile Work Environment
☐ VA Expedited Process	☐ Psychiatric Examination
☐ Gag Order	Performance Evaluation
☐ Detail	Changes to Duties/Working Conditions
Promotion	Pay, Benefits, Training
Appointment	Other
Describe:	
1	



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3.	State the name, title, and position in your chain of command of the agency official(	s)
	involved in the personnel action(s).	

involved in the p		97.	
First Name	Last Name	Title	Chain of Command
I IISt Name	Lastivallie	(e.g., Deputy Director)	(e.g., 1st level supervisor)

Describe the re	ole played by eac	h agency official listed a our complaint. ( <i>e.g.</i> , reco	bove in the personnel
proposing offic	ial, deciding offici	al, approving official, etc	c.).



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#### **Non-Disclosure Agreement**

An agency official is prohibited from implementing or enforcing a non-disclosure policy, form, or agreement (commonly called a "gag order") if it does not contain a statement notifying employees and applicants for federal employment of their rights, obligations, and liabilities concerning classified information, communications to Congress, whistleblowing to an Inspector General, or any other whistleblower protection. 5 U.S.C. § 2302(b)(13).

Please briefly answer the following questions about this claim.

Thouse strong answer the following questions about this signific								
Describe the non-disclosure policy or "gag order."								



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2.	State the name, title, and position in your chain of command of the a	igency	official(s)
	who implemented or enforced the non-disclosure agreement or police	cy.	

First Name	Last Name	Title	Chain of Command						
First Name		(e.g., Deputy Director)	(e.g., 1st level supervisor)						

When was the agreement or policy issued?									



Navigation Bar	4.	To whom does the agreement or policy apply ( <i>i.e.</i> , does the agreement apply only to you, to the subordinates of a particular agency official(s), to a field office, or to
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	5.	Does the agreement or policy contain a statement concerning whistleblower rights?  Yes No



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#### **Improper Accessing of Medical Records**

An agency official is prohibited from accessing the medical records of another employee or applicant for employment as a part of, or otherwise in furtherance of, the commission of a prohibited personnel practice. <u>5 U.S.C.</u> § 2302(b)(14).

Please briefly answer the following questions about your claim.

1.	. Who accessed your medical records?							
2.	When were they accessed?							



For instructions or questions, call the Case Review Division at (202) 804-7000.

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3.	Please provide any additional details you may have to describe how your records were accessed.



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4.	What reason did the agency give to explain why they accessed your medical records? Why do you think they did so?



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#### **Coerce Political Activity**

An agency official is prohibited from coercing a person to engage in political activity, and from taking action against a person for refusing to do so. This section prohibits the coercion of a person's political activity, including providing any political contribution or service. § 2302(b)(3).

Please <u>briefly</u> answer the following questions about your claim of coerced political activity.

olitical activity.								
Describe the political activity or service you were coerced into undertaking.								



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lavigation Bar	4.	State the name involved in the		on in your chain of comm	and of the agency official(s)
Prohibited Personnel Practices (PPP)		First Name	Last Name	Title (e.g., Deputy Director)	Chain of Command (e.g., 1st level supervisor)
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	6	Have you also fi	led a Hatch Act	complaint with OSC bas	sed on this incident?
	٥.	Yes No		Somplaint With OCO Bas	or the modern;



HESO	
Navigation Bar	<u>Other</u>
Prohibited Personnel Practices (PPP)	OSC also has jurisdiction over certain other activities prohibited by statute. If none of the categories of wrongdoing above apply to your circumstances, please tell us the basis of your complaint below. OSC will determine whether we have jurisdiction over
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#### PART 5: CONSENT TO CERTAIN DISCLOSURES OF INFORMATION

\* Denotes Required Fields

OSC asks everyone who files a complaint alleging a possible prohibited personnel practice or other prohibited activity to select one of three Consent Statements shown below. Please: (a) select and check one of the Consent Statements below; and (b) keep a copy for your own records.

If you initially select a Consent Statement that restricts OSC's use of information, you may later select a less restrictive Consent Statement. If your selection of Consent Statement 2 or 3 prevents OSC from being able to conduct an investigation, an OSC representative will contact you, explain the circumstances, and provide you with an opportunity to select a less restrictive Consent Statement.

You should be aware that the Privacy Act and other applicable federal laws allow information in OSC case files to be used or disclosed for certain purposes, regardless of which Consent Statement you sign. Information about certain circumstances under which OSC can use or disclose information under the Privacy Act appears in the Form Submission part of this form.

\*(Please check ONLY one)

Consent Statement 1 I consent to OSC's communication with the agency involved in my complaint. I agree to allow OSC to disclose my identity and information about my complaint if OSC decides that such disclosure is needed to investigate my complaint (for example, to request information from the agency, or seek a possible resolution).
Consent Statement 2

I consent to OSC's communication with the agency involved in my complaint, but I *do not agree* to allow OSC to disclose my identity to that agency. I agree to allow OSC to disclose only information about my complaint, without disclosing my name or other identifying information, if OSC decides that such disclosure is needed to investigate my complaint (for example, to request information from the agency, or seek a possible resolution). I understand that in some circumstances, OSC could not maintain my anonymity while communicating with the agency involved about a specific personnel action. In such cases, I understand that my request for confidentiality may prevent OSC from taking further action on the complaint.

#### Consent Statement 3

I do not consent to OSC's communication with the agency involved in my complaint. I understand that if OSC decides that it cannot investigate my complaint without communicating with that agency, my lack of consent will probably prevent OSC from taking further action on the complaint.



#### **U.S. Office of Special Counsel**

### **Navigation Bar** CERTIFICATION \* Denotes Required Fields I certify that all of the statements made in this complaint are true, complete, and Prohibited Personnel Practices (PPP) correct to the best of my knowledge and belief. I understand that a false statement or concealment of a material fact is a criminal offense punishable by a fine, Certification imprisonment, or both 18 U.S.C. § 1001 BURDEN: The burden for this collection of information (including the time for reviewing instructions, searching existing data sources, gathering the data needed. and completing and reviewing the form) is estimated to be an average of one hour to submit a disclosure of information alleging agency wrongdoing, one hour and fifteen minutes to submit a complaint alleging a prohibited personnel practice or other prohibited activity, or 30 minutes to submit a complaint alleging prohibited political activity. Please send any comments about this burden estimate, and suggestions for reducing the burden, to the U.S. Office of Special Counsel, General Counsel's Office, 1730 M Street, NW, Suite 218, Washington, DC 20036-4505. OTHER INFORMATION: An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number. PLEASE KEEP A COPY OF YOUR COMPLAINT, ANY SUPPORTING DOCUMENTATION, AND ANY ADDITIONAL ALLEGATIONS THAT YOU SEND TO OSC NOW OR AT ANY TIME WHILE YOUR COMPLAINT IS PENDING. REPRODUCTION CHARGES UNDER THE FREEDOM OF INFORMATION ACT MAY APPLY TO ANY REQUEST YOU MAKE FOR COPIES OF MATERIALS THAT YOU PROVIDED TO OSC. Print and mail your complaint, please address it to: U.S. Office of Special Counsel 1730 M Street, NW Suite 218 Washington, DC 20036